

Walsh Financial Solutions, an independent financial planning firm, is committed to safeguarding the confidential information of our clients. We hold all personal information provided to our firm in the strictest confidence. We collect the minimum required to provide you with sound financial advice, and to perform services such as maintaining your accounts, processing transactions, and meeting securities compliance requirements.

We have never disclosed information to nonaffiliated third parties, except as permitted by law, and do not anticipate doing so in the future. If we were to anticipate such a change in firm policy, we would be prohibited under the law from doing so without advising you first. As you know, we use health and financial information that you provide to us to help you meet your personal financial goals while guarding against any real or perceived infringements of your rights of privacy.

In compliance with securities laws and firm policies, we will make appropriate information about you available to LPL Financial, the Broker/Dealer with which we are affiliated. LPL Financial publishes distinct policies to protect your privacy, which are outlined in their privacy policy.

Our policy with respect to personal information about you is listed below:

- We limit employee and agent access to information only to those who have a business or professional reason for knowing, and only to nonaffiliated parties as permitted by law. (For example, federal regulations permit us to share a limited amount of information about you with LPL Financial in order to execute securities transactions on your behalf, or so that our firm can discuss your financial situation with your accountant or lawyer when necessary.)
- We maintain a secure office and computer environment to ensure that your information is not placed at unreasonable risk. We also employ computer hardware firewalls, antivirus and Internet security software, data backup systems, and secure data encryption techniques as electronic and procedural safeguards for your information.
- The categories of nonpublic personal information that we collect from a client depend upon the scope of the client engagement. It will include information about your personal finances, information about your health to the extent that it is needed for the planning process, information about transactions between you and third parties, and information from consumer reporting agencies.
- For unaffiliated third parties that require access to your personal information, including financial service companies, consultants, and auditors, we also require strict confidentiality in our agreements with them and expect them to keep this information private. Federal and state regulators also may review firm records as permitted under law.
- We do not provide your personally identifiable information to mailing list vendors or solicitors for any purpose.
- Personally identifiable information about you will be maintained during the time you are a client, and for the required time thereafter that such records are required to be maintained by federal and state securities laws, and consistent with the CFP Board Code of Ethics and Professional Responsibility. After this required period of record retention, all such information will be destroyed.